

## Electronic Acknowledgement Receipt

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|---|---|
| <b>EFS ID:</b>                              | 3986645   |
| <b>Application Number:</b>                  | 10757940  |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 4644  |
| <b>Title of Invention:</b>                  | Method for pricing a trade  |
| <b>First Named Inventor/Applicant Name:</b> | Stephen G. Moore  |
| <b>Correspondence Address:</b>              | MICHAEL B. JOHANNESSEN, ESQ.<br>LOWENSTEIN SANDLER PC<br>65 LIVINGSTON AVENUE<br>-<br>ROSELAND NJ 07068<br>US 9735972500<br>- |
| <b>Filer:</b>                               | Rohini K. Garg  |
| <b>Filer Authorized By:</b>                 |   |
| <b>Attorney Docket Number:</b>              | 14846-25  |
| <b>Receipt Date:</b>                        | 23-SEP-2008   |
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| <b>Application Type:</b>                    | Utility under 35 USC 111(a)   |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$810           |

| RAM confirmation Number  | 15189  |                        |   |                  |                  |
|--|--|------------------------|---|------------------|------------------|
| Deposit Account  | 501358   |                        |   |                  |                  |
| Authorized User  |  |                        |   |                  |                  |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:<br>Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)<br>Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges) |  |                        |   |                  |                  |
| <b>File Listing:</b>   |  |                        |   |                  |                  |
| Document Number  | Document Description                             | File Name              | File Size(Bytes)/ Message Digest                                  | Multi Part /.zip | Pages (if appl.) |
| 1  |  | Amendment_14846_25.pdf | 169140<br><small>79f86c288f51d8ce773d1d0b9e6c0e011e28f64b</small> | yes              | 8                |
| <b>Multipart Description/PDF files in .zip description</b>   |  |                        |   |                  |                  |
|  | <b>Document Description</b>                      |                        | <b>Start</b>  |                  | <b>End</b>       |
|  | Amendment After Final                            |                        | 1   |                  | 1                |
|  | Claims   |                        | 2   |                  | 4                |
|  | Applicant Arguments/Remarks Made in an Amendment |                        | 5   |                  | 8                |
| <b>Warnings:</b>   |  |                        |   |                  |                  |
| <b>Information:</b>  |  |                        |   |                  |                  |
| 2  | Request for Continued Examination (RCE)          | sb0030e_fill.pdf       | 780422<br><small>b9f5c029655a8c277e88c85508d78388e375786f</small> | no               | 3                |
| <b>Warnings:</b>   |  |                        |   |                  |                  |
| <b>Information:</b>  |  |                        |   |                  |                  |
| 3  | Fee Worksheet (PTO-06)                           | fee-info.pdf           | 29834<br><small>8fcdb3703d8e6dc622c700083500b3d4543da138</small>  | no               | 2                |
| <b>Warnings:</b>   |  |                        |   |                  |                  |
| <b>Information:</b>  |  |                        |   |                  |                  |
| <b>Total Files Size (in bytes):</b>  |  |                        | 979396  |                  |                  |

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.